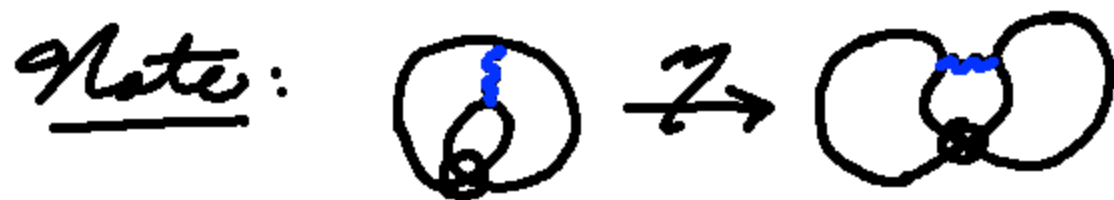


Lecture # 7

We begin with an overlap with lecture 6 and then continue further.

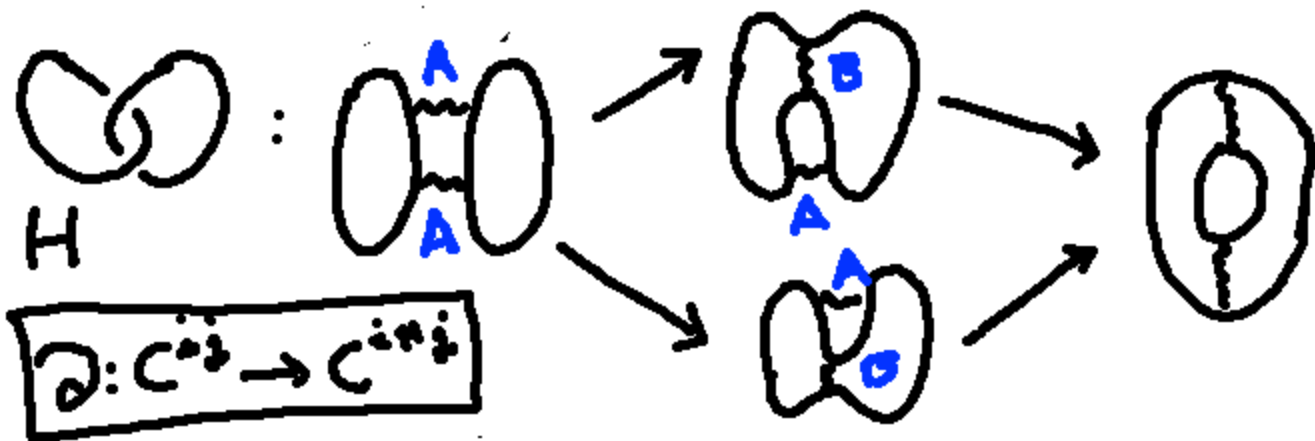


A re-smoothing in virtual category can be from one loop to one loop.



A re-smoothing in knotoid category can be from one loop to one loop.

These facts mean that Khovanov Homology for virtuals and knotoids requires special attention.



$i \setminus j$	2	0	2	4
0	\mathbb{Z}	\mathbb{Z}	\mathbb{Z}	
1	0	0	0	
2	0	0	\mathbb{Z}	\mathbb{Z}

$i \setminus j$	-2	0	2	4
0	$\mathbb{Z} \oplus \mathbb{Z}$	$\mathbb{Z} \oplus \mathbb{Z}$ $\mathbb{Z} \oplus \mathbb{Z}$	$\mathbb{Z} \oplus \mathbb{Z}$	
1		$(\mathbb{Z}, 0)$ $(0, \mathbb{Z})$	$(\mathbb{Z}, 0)$ $(0, \mathbb{Z})$	
2		$\mathbb{Z} \oplus \mathbb{Z}$	$\mathbb{Z} \oplus \mathbb{Z}$ $\mathbb{Z} \oplus \mathbb{Z}$	$\mathbb{Z} \oplus \mathbb{Z}$

$\textcircled{-2} \partial(x \oplus y) = 0$

$\partial(x, 0) = x \oplus y$
 $\partial(0, y) = x \oplus y$

$\partial(x \oplus x) = 0$

$\partial(x \oplus 1) = (x, 0) - (0, x)$
 $= (x, -x)$

$\partial(1 \oplus y) = (x, -y)$

$\partial(x \oplus (1 - 10x)) = 0$
cycle

$\text{Im } \partial: C^{0,0} \rightarrow C^{1,0}$
 is gen by
 $(x, -x)$.

What are cycles
 in $C^{1,0}$?
 gen by $(x, -x)$.
 \Rightarrow homol = 0

$\textcircled{2}$
 $\partial(1 \oplus 1)$
 $= (1, 0)$
 $- (0, 1)$
 $= (1, -1)$
 $\partial(0, 0)$
 $= 10x + 10y$
 $= 10(x, y)$
 $\partial(0, 1)$



$i \setminus j$	-2	0	2
0	$x \otimes y$	$x \otimes 1$	$1 \otimes 1$
1		y	1

$i \setminus j$	-2	0	2
0	x	x	0
1	0	0	0

$$\bar{\epsilon}^{-2} + \bar{\epsilon}^0 = \bar{\epsilon}^{-2+1}$$

$$a(1|0) = 1$$

$$a(x \otimes y) = 0 \quad a(x \otimes 1) = y$$

$$a(1 \otimes y) = y$$

$i \setminus j$	-1	1	
0	x	1	

$i \setminus j$	-1	1
0	x	x

$$\begin{bmatrix} 0 \\ 0 \end{bmatrix} = -\bar{\epsilon} \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

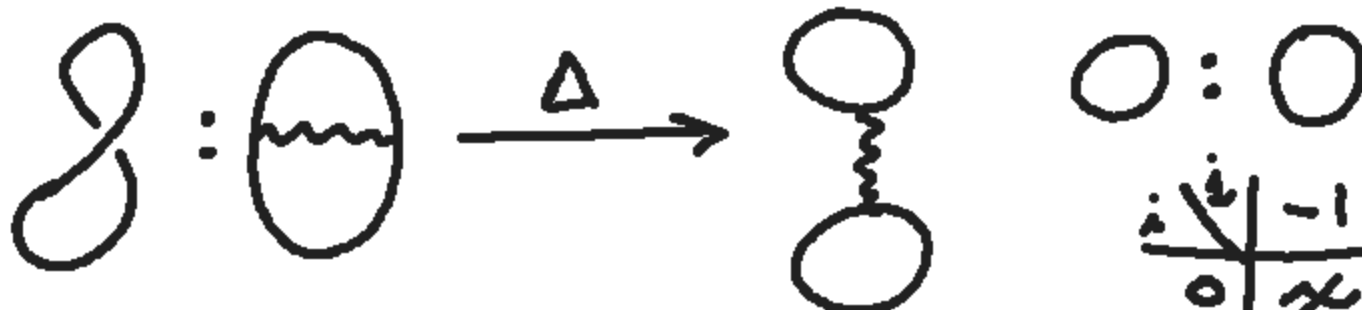
$$\delta^2 - \bar{\epsilon} \delta$$

$$\delta(\delta - \bar{\epsilon})$$

$$\frac{(\bar{\epsilon} + \bar{\epsilon}^{-1})}{\bar{\epsilon}} \frac{(\bar{\epsilon}^{-1})}{\bar{\epsilon}}$$

$$1 + \bar{\epsilon}^{-2}$$

Note that grading shift is: $\bar{\epsilon}^{-1}$ $\text{KohH}(0) = \text{KohH}(\bar{\epsilon})$



λ	λ	-1	1	3
0	λ		1	
1	λ^2	λ	λ	λ

$\partial \lambda = \lambda^2$
 $\partial 1 = 1 \cdot \lambda + \lambda \cdot 1$

λ	-1	1
0	λ	1

λ	-1	1
0	λ	λ

$\lambda^{-1} + \lambda$

λ	-1	1	3
0	0	0	0
1	0	λ	λ

$[\mathcal{L}] = -\mathcal{L}^2 [0]$

$= -\mathcal{L}^2 \text{Khol}(\mathcal{L})$

$= \text{Khol}(0)$

$-\mathcal{L} - \mathcal{L}^3 = -\mathcal{L}^2 (\lambda^{-1} + \lambda)$

Exercise:



- 1) do it mod 2
- 2) do it again using our procedure (CTBA)



Exercise.



Exercise.



Other examples



8 states
 + more enhanced states



min
 16 states
 + more enhanced states

Knotoids



ends not
 necess in
 same region.

Includes RN's as usual but not allowing passage across an end.



Knot type knotoids



\sim
 ↑ No!



$$\langle \bigcirc \rangle = q + q^{-1}$$

$$\langle \sim \rangle = q + q^{-1}$$

Usual $\langle \rangle$ poly for hermitian

$$\langle \cdot | \cdot \rangle = A \langle \cdot | \cdot \rangle + \bar{A}^{-1} \langle \cdot | \cdot \rangle$$

$$\langle OK \rangle = (-A^2 - \bar{A}^{-2}) \langle K \rangle$$

$$\langle \cdot | \cdot \rangle = 1$$

$\mathbb{R}^2 + \mathbb{R}^3$

$$f_K(A) = (-A^3)^{-\text{wr}(K)} \langle K \rangle$$

$$\langle \cdot | \cdot \rangle = A \langle \cdot | \cdot \rangle + \bar{A}^{-1} \langle \cdot | \cdot \rangle$$

$$\langle \cdot | \cdot \rangle = A \langle \cdot | \cdot \rangle + \bar{A}^{-1} \langle \cdot | \cdot \rangle$$

$$= (A + \bar{A}^{-1}) \langle \cdot | \cdot \rangle$$

$$= A(A + \bar{A}^{-1}) + \bar{A}^{-1}(-A^{-3})$$

$$= A^2 + 1 - A^{-4}$$

$$f_K = (-A^3)^{-2} \langle K \rangle = A^{-4} + A^{-6} - A^{-10}$$

$\Rightarrow K \neq K^*$ non trivial

Usual $\langle \rangle$ poly for knotoids

$$\langle \nearrow \searrow \rangle = A \langle \underline{\quad} \rangle + A^{-1} \langle \searrow \searrow \rangle$$

$$\langle \text{OK} \rangle = (-A^2 - A^{-2}) \langle K \rangle$$

$$\langle \curvearrowright \rangle = 1$$

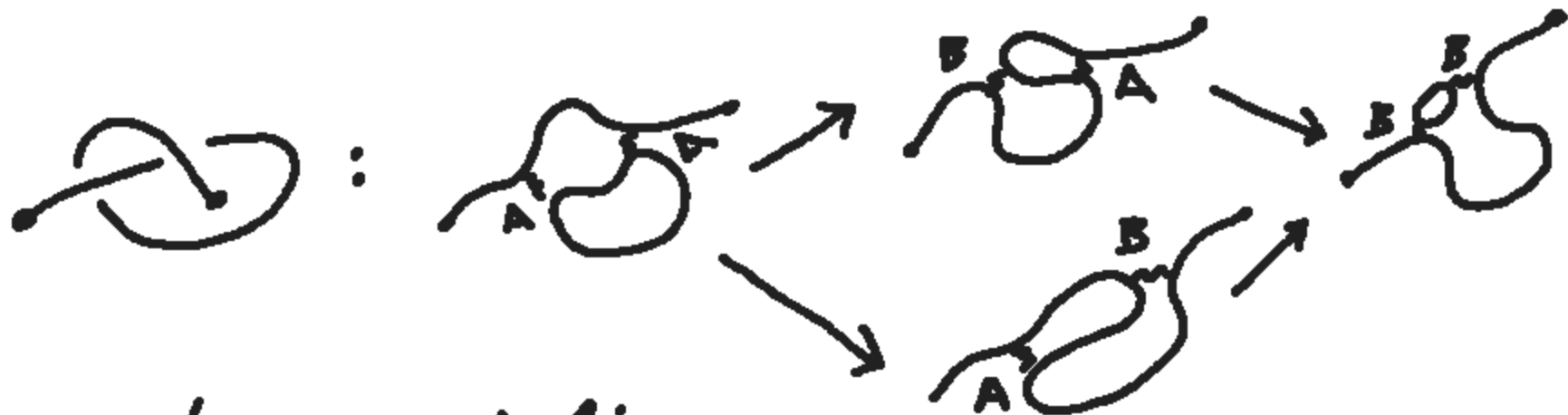
We can also take $\langle K \rangle$ where

\bar{K} = virtual closure of the knotoid.

e.g. $\langle \overline{\curvearrowright} \rangle = \langle \bigcirc \rangle$

$$\begin{aligned} &= A \langle \bigcirc \rangle + A^{-1} \langle \bigcirc \rangle = A(A + A^{-1}) + A^{-1}(-A^{-3}) \\ &= A^2 + 1 - A^{-4}. \end{aligned}$$

Exercise: $\langle K \rangle$ (as defined above)
 $= \langle \bar{K} \rangle$ (as defined for virtual knots)

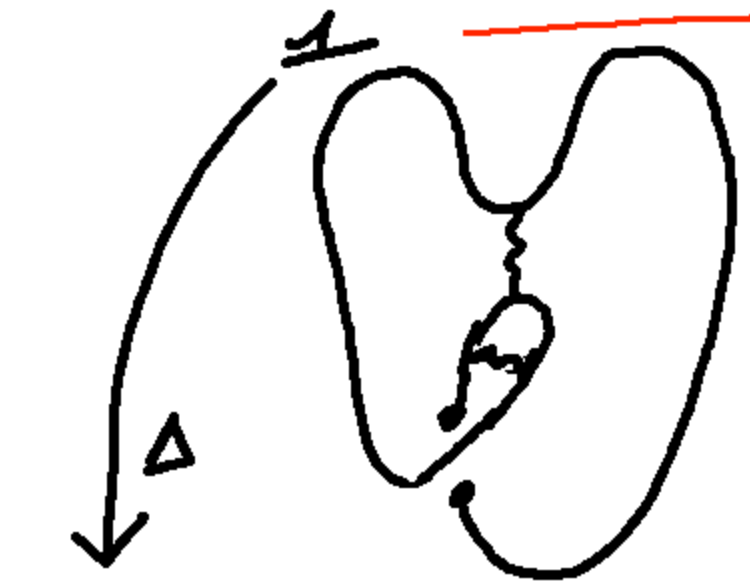


We can define Khovanov Homology for knotted exactly as we have defined Khovanov Homology for classical knots. For the long state \curvearrowright we associate the same module $V = k[x]/(x^2)$ and generators $\begin{matrix} + \\ - \end{matrix} \equiv \begin{matrix} \uparrow \\ \downarrow \end{matrix}$.

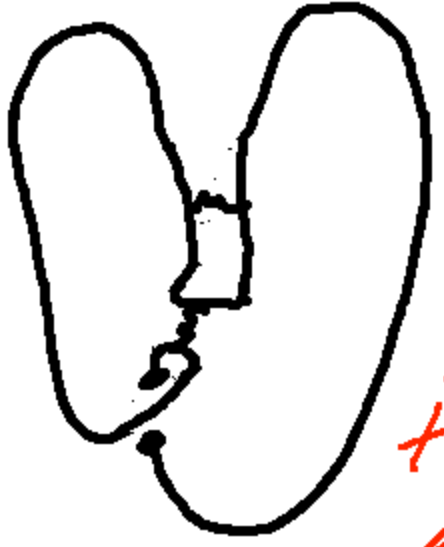
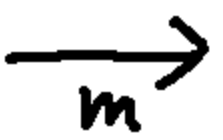
WARNING!

$\mathbb{Z} = \phi$

$\mathbb{Z} \rightarrow \mathbb{Z}$



$10\phi + 7\psi$



2ψ

For knotoids we have same problem with single component smoothing (See left) as for virtual knots. Thus we will discuss Khovanov Homology of Knotoids along with the virtual discussion!

Conjecture. $\langle K \rangle$ detects the unknot.



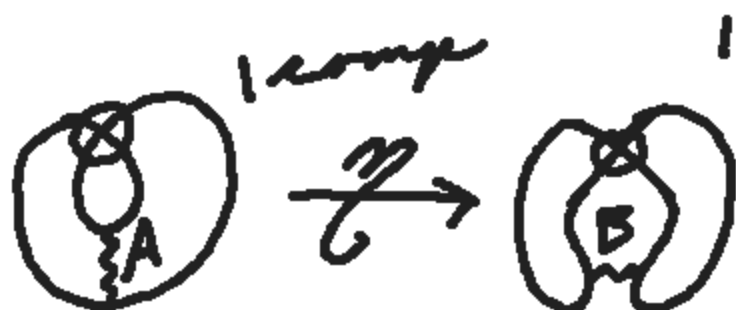
Exercise
compute $\langle k \rangle$.

$\langle k \rangle = \text{some } \pm \text{power of } A$

Conj $\Rightarrow k \sim \bigcup$

Kho Homology for knots might detect the unknot.
For classical knots this has been shown by Kronheimer and Mrowka.

What about Khovanov for virtuals?



single cycle.
re-smoothing



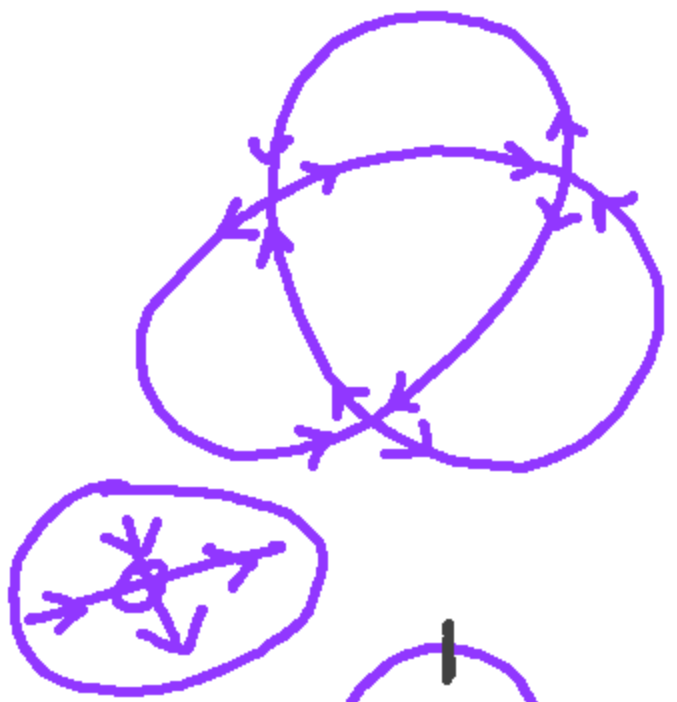
non-orientable
saddle pt.

$\mathcal{A} = k[x]/(x^2)$
Khovanov
algebra.

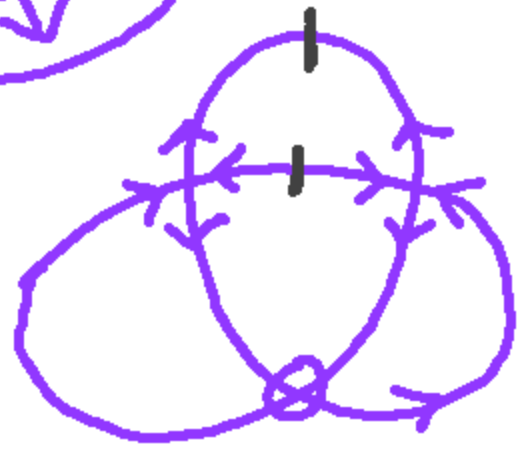
$\eta: \mathcal{A} \rightarrow \mathcal{A}$, $m: \mathcal{A} \otimes \mathcal{A} \rightarrow \mathcal{A}$
 $\Delta: \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$

assume now $\eta = 0$.

 source sink
orientation



Can always convert
a directed diagram
with a source sink
orientation.
Exercise!



It turns out
that we can
measure
involutions
across
cut-points.

This
will be
the
subject
of the
next
lecture.

Khovanov Homology for Virtual Links

$$1. \mathcal{A} = \mathbb{Z}[\chi] / (\chi^2), \quad \Delta(1) = 1 \otimes \chi + \chi \otimes 1 \\ \Delta(\chi) = \chi \otimes \chi$$

$$S: \mathcal{A} \rightarrow \mathcal{A}, \quad S(\chi) = \bar{\chi} = -\chi \\ S(1) = \bar{1} = 1$$

Note: $\overline{(a+b\chi)(c+d\chi)} = (a-b\chi)(c-d\chi)$
 $= ac - bc\chi - ad\chi$
 $= \overline{ac + bc\chi + ad\chi} = \overline{(a+b\chi)(c+d\chi)}$.

Thus $\overline{\overline{z}} = \overline{zw}$ for all $z, w \in \mathcal{A}$.

Note: $\Delta(\tau) = \Delta(1) = 1 \otimes \chi + \chi \otimes 1$
 $\overline{\Delta(1)} = \overline{1 \otimes \chi + \chi \otimes 1} = -1 \otimes \chi - \chi \otimes 1$
 $\therefore \overline{\Delta(1)} = -\Delta(\tau)$.

$$\Delta(\overline{\gamma}) = \Delta(-\gamma) = -\Delta(\gamma) = -\gamma \otimes \gamma$$

$$\overline{\Delta(\gamma)} = \overline{\gamma \otimes \gamma} = (-\gamma) \otimes (-\gamma) = \gamma \otimes \gamma$$

$$\therefore \overline{\Delta(\gamma)} = -\Delta(\overline{\gamma})$$

Thus $\forall z \in \mathcal{O}, \overline{\Delta(z)} = -\Delta(\overline{z})$.

2. We work with an oriented link diagram K and associate a source-sink orientation to each crossing as shown below:

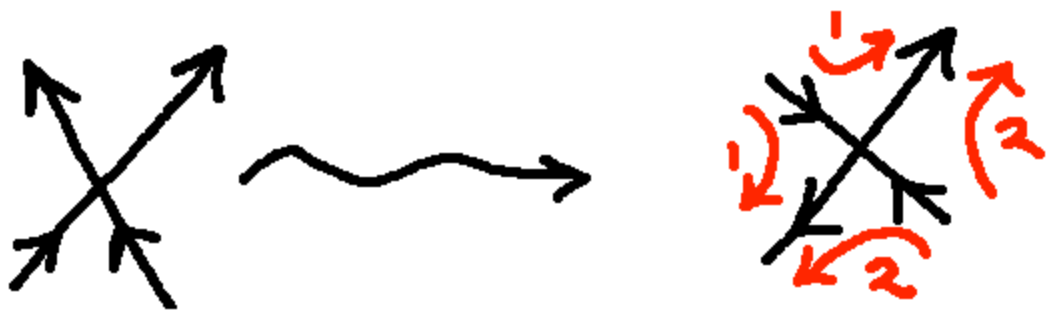




Local order on the cycles
For each smoothing is determined
by the labels 1 and 2 above.

The global order is an
arbitrary choice of labels
 $1, 2, \dots, n$ for the n states in
the Khovanov complex. We will
compare local and global orders.

This comparison can be expressed
in terms of Grassmann algebras, but
we shall define it in terms of
permutations and signs of permutations.



Along with these orders, the source-sink orientations induce cut pts on the diagrams as in



We apply $a \rightsquigarrow \bar{a}$ when moving algebra parts a cut point.

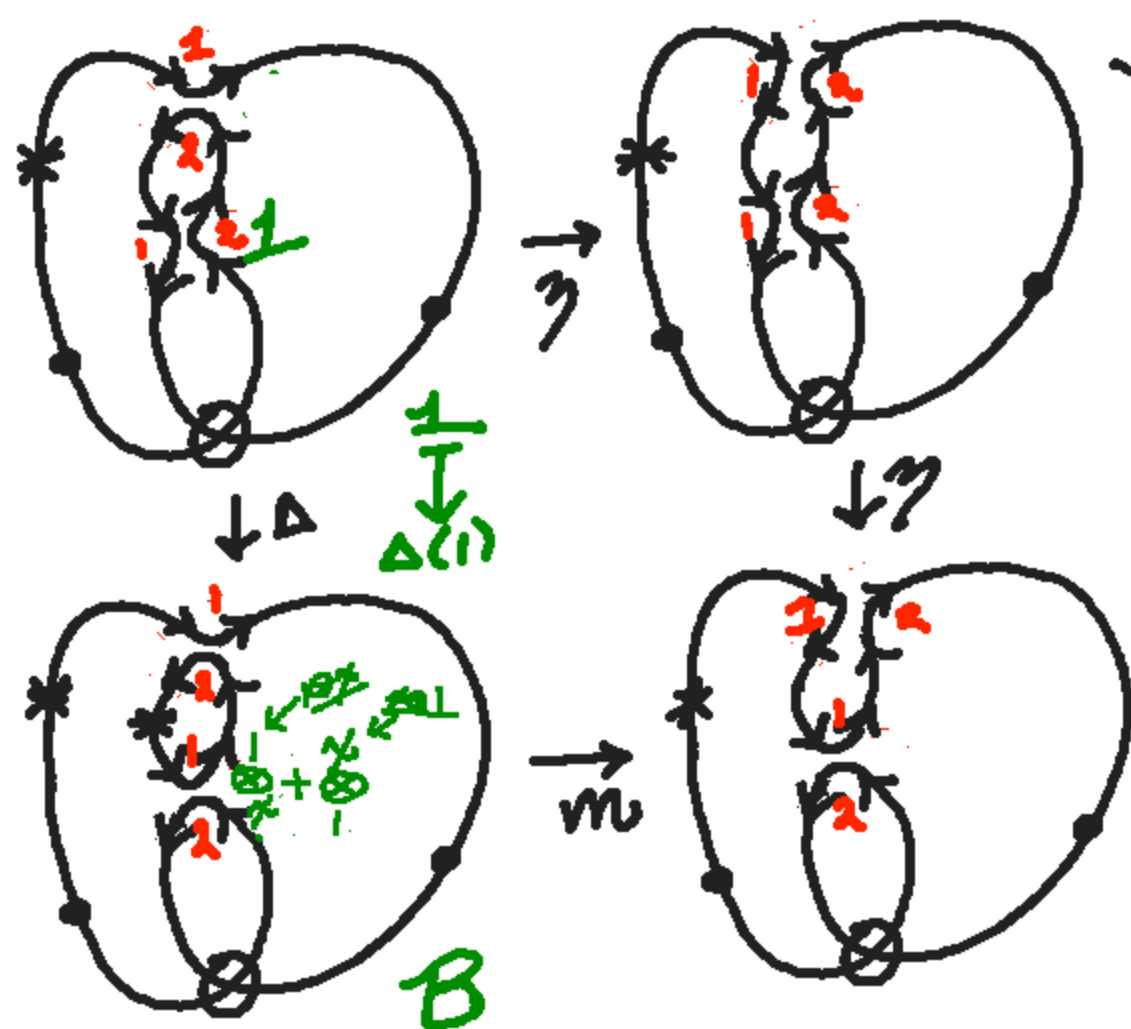
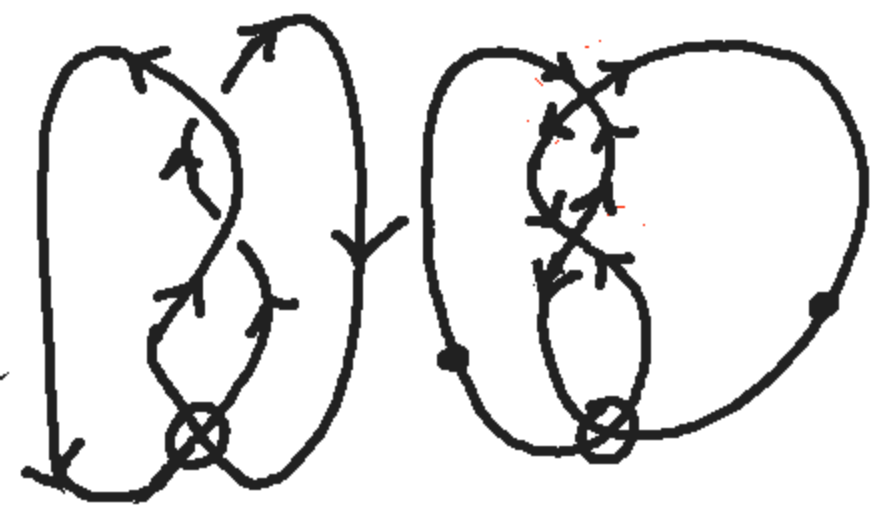


algebra resides a basepoint and is transported in a state to the site of an operation (m or Δ) and then transported back to base-point.



- (a) If labels 1 and 2 appear on the same cycle, permute global order so that this cycle appears in the first place. Leave remaining elts in same relative order.
- (b) If labels 1 and 2 appear on different cycles, permute global order so they occupy 1st and 2nd place resp. Leave other cycles in same relative order.

In working with signs for maps, use the signs of the permutations for (a) and (b) along with the signs that occur from applying $S(\bar{z}) = \bar{z}$ to algebra elements that transport across cut loci. Then all squares in the complex anti-commute

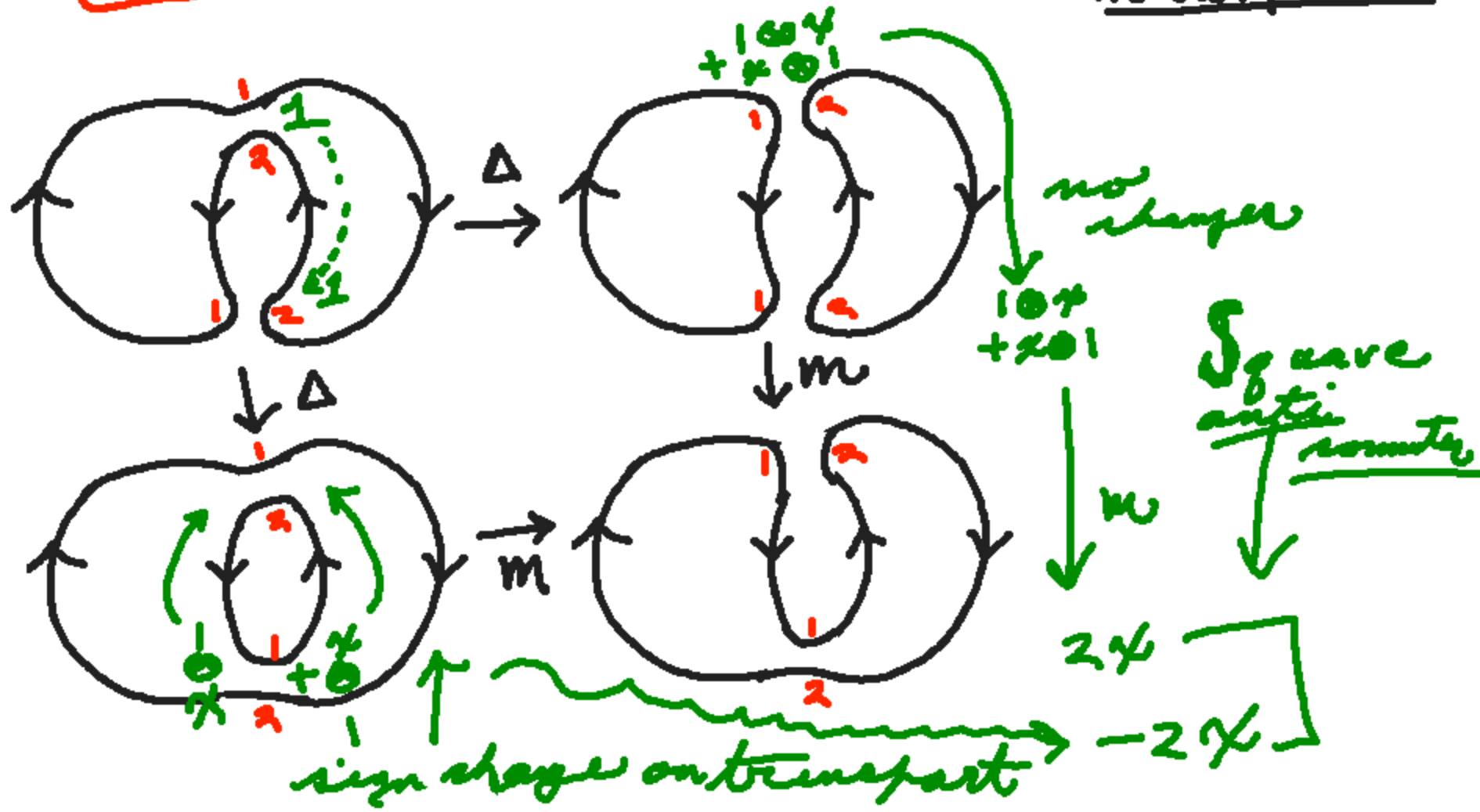
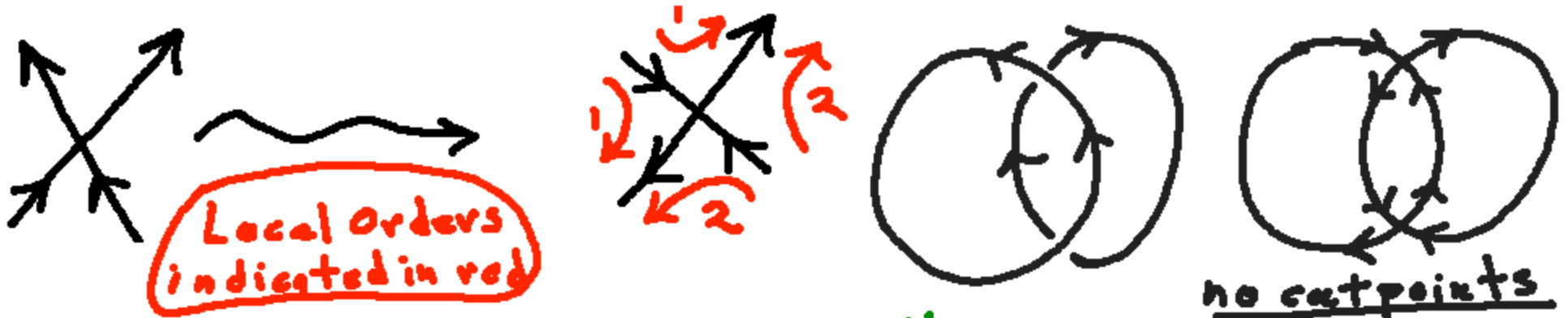


at B , $1 \otimes \psi + \psi \otimes 1$
 transports from
 $(1, 2) \rightsquigarrow (2, 1)$
 making global
 sign change.

$$1 \otimes 1 \rightsquigarrow -(1 \otimes \psi)$$

$$(1 \otimes \lambda) \rightsquigarrow -(\lambda \otimes 1)$$

Apply m to get
 $-\psi - (-\psi) = \phi \checkmark$



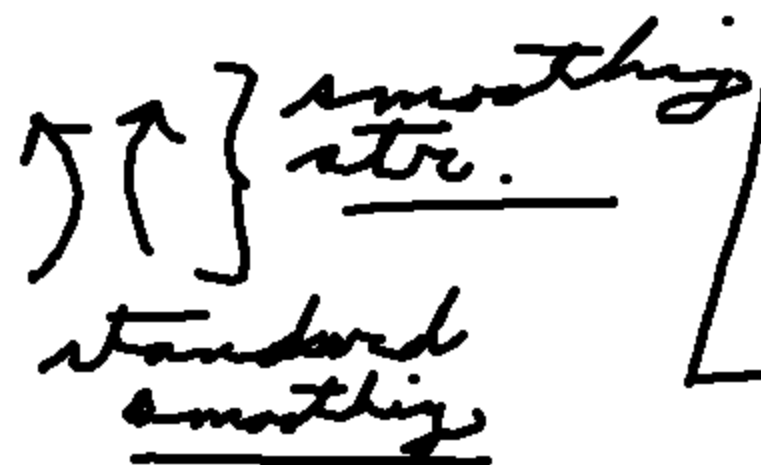
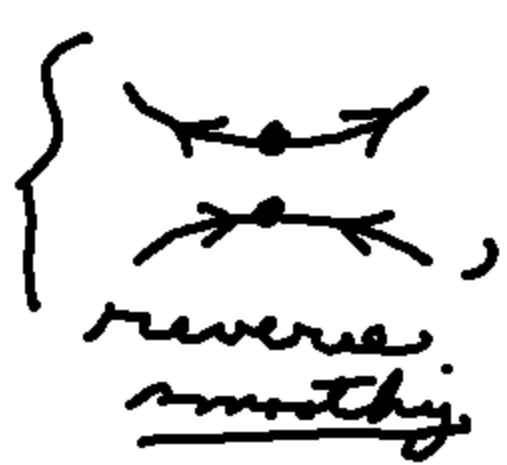
These examples illustrate how the local/global order permutations combine with the $\mathbb{Z} \rightarrow \overline{\mathbb{Z}}$ barving transformations across cut points to give anti-commuting squares in the Khovanov complex.

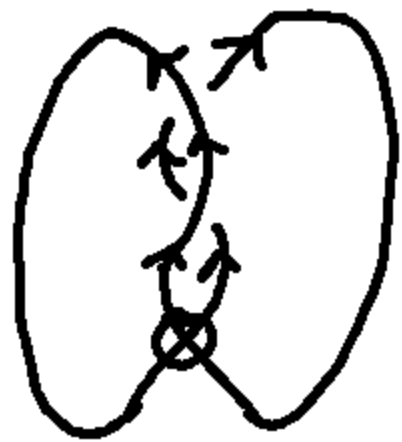


you'd want an explicit formula as possible for ∂ .



Can place 2 cut points at each crossing.

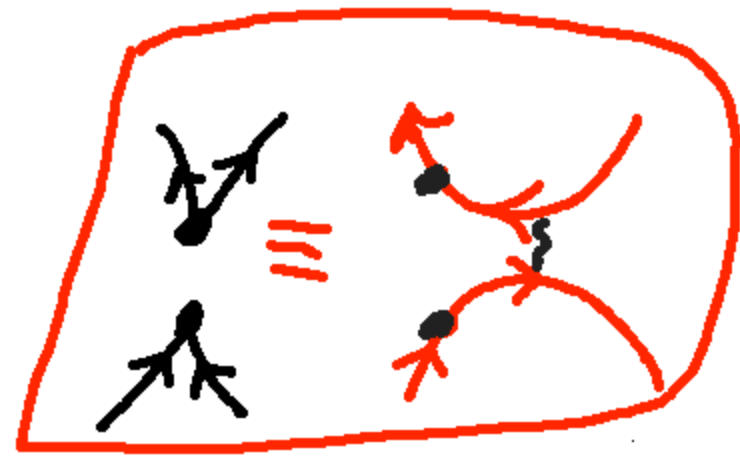




:



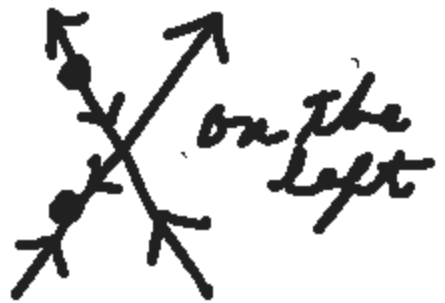
\rightarrow



$\downarrow \Delta$



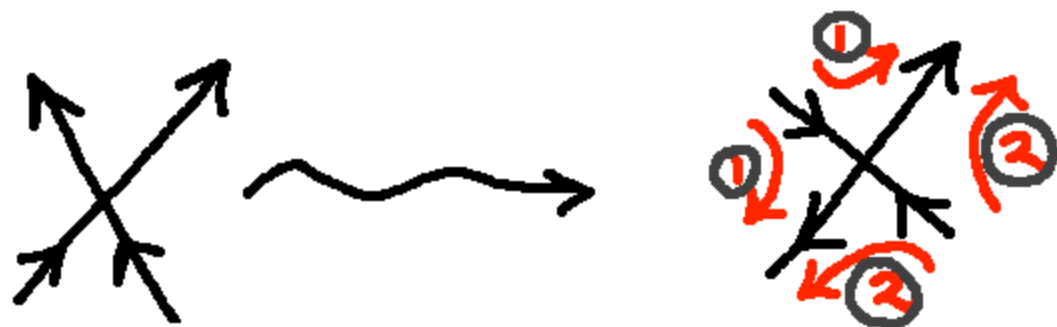
$\rightarrow m$



\equiv



PREVIOUS
way



Formalizing the Complex

The diagram above is the key to the entire construction.


Each state \mathcal{O} has a chosen base pt and some cut-points.

Any algebra labeling cycles in the state is located at the base pt $*$.

To apply a partial boundary, relative to surgery on a site s e.g.



find a path ∂_s from $*$ \rightsquigarrow s .

To apply a partial boundary, relative
to surgery on a site λ e.g. 
find a path α from $*$ \rightsquigarrow λ .

Let $N(\lambda) = \#$ of cut points on
the path α from $*$ to λ .

If algebra a labels $*$, then
apply the operation M or Δ
labeled D_λ to $S^{N(\lambda)}(a)$ where
 S is the involution on the algebra.
($S(x) = -x$, $S(1) = 1$). Recall that we
also need the sign cover to
the local/global order.

Let $\text{sgn}(\sigma) =$ the permutation
 sign for site σ
 w.r.t local/global order.

And let $\text{sgn}(\sigma') =$ the permutation
 sign for site σ'
 w.r.t local/global order.

Here $\sigma \xrightarrow{\text{reverses}} \sigma'$

Then the local boundary $d_\sigma(a)$:

$$d_\sigma(a) = \text{sgn}(\sigma) \text{sgn}(\sigma') S^{N(\sigma')} (\partial_\sigma (S^{N(\sigma)}(a)))$$

and

$$\partial(a) = \sum_{\sigma} d_\sigma(a).$$

The difference between their
formula and the original
Khovanov boundary formula
is entirely in the signs.

More to come ...