

# **Symplectic Khovanov homology and Jones polynomial**

**VIII Russian-Chinese Conference on Knot Theory and Related Topics**

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# Background–Link Resolutions

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A link is an embedding  $L : \sqcup S^1 \rightarrow \mathbb{R}^3$ .

A (planar) link diagram  $D$  is a generic projection of  $L$  to  $\mathbb{R}^2$ , where the self-intersections can be presented as isolated crossings.

A resolution at a crossing is a choice of replacing the crossing with one of the following:

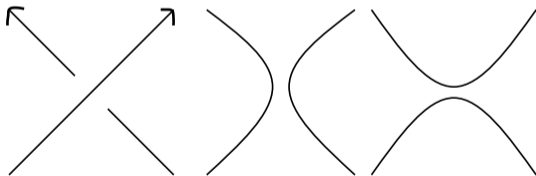


Figure: Resolution of  $K_+$  at a crossing into  $K_0$  and  $K_\infty$

# Background–Jones Polynomial

Definition (Jones 1987, [5], Kauffman 1987, [6])

Jones polynomial for a link diagram  $D$  is  $V(L) = t^{\frac{-3w(D)}{4}} \sum_d t^{\frac{ind(d)}{2}} (-t^{\frac{1}{2}} - t^{-\frac{1}{2}})^{n(d)}$  where  $w(D)$  is the writhe of  $D$ ,  $ind(d)$  is the number of  $\infty$ -resolution minus that of 0-resolution, and  $n(d)$  is the number of circles in the resolution diagram  $d$ .

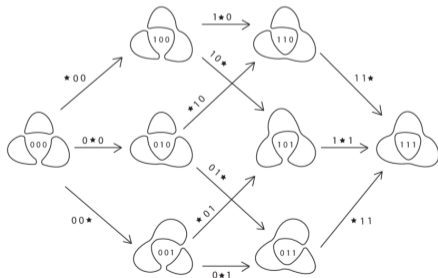


Figure: Resolutions of a trefoil, [9]

# Background–Khovanov homology

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Definition (Khovanov 2000, [7])

Khovanov homology  $Kh^{i,j}(L)$  is a link invariant which categorifies Jones polynomial  $V(L)$ , i.e.  $V(L) = t^j \sum_i (-1)^i \dim Kh^{i,j}(L)$ .

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## Jones polynomial

$$(-t^{\frac{1}{2}} - t^{-\frac{1}{2}})^n$$

Multiplication with term  $t^k$

Cancellation of terms of different signs

Skein relations of Jones polynomials

## Khovanov homology

$$\otimes^n (\mathbb{F}[\frac{1}{2}] \oplus \mathbb{F}[-\frac{1}{2}])$$

Grading shift  $C \rightarrow C[k]$

Differentials

Long exact sequences of homology groups



Figure: Unoriented skein relation  $K_+$ ,  $K_0$  and  $K_\infty$ .

# Background–Alternate approaches to $Kh^{*,*}(L)$

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## Symplectic Geometry

Symplectic Khovanov homology, see Seidel-Smith 2004 [8], Abouzaid-Smith 2019 [1] and etc.

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Derived category of coherent sheaves, see Cautis-Kamnitzer 2008 [2] and etc.



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## Complex Geometry

Derived category of coherent sheaves, see Cautis-Kamnitzer 2008 [2] and etc.

## Gauge Theory

Instanton Floer homology, see Witten 2011 [10], Xie 2021 [11] and etc.

# Background–Symplectic Khovanov homology

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Symplectic Khovanov homology  $Kh_{symp}^*(L)$  is a singly graded link invariant.

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 $Kh_{\text{symp}}^k(L) \cong \bigoplus_{i-j=k} Kh^{i,j}(L).$

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Theorem (Abouzaid-Smith 2019, [1])

*The conjecture above is true for characteristic-0 fields  $\mathbb{F}$ .*

# Main result

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*There is a well-defined relative second grading, called **relative weight grading** on symplectic Khovanov homology.*

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*Over any characteristic-0 field  $\mathbb{F}$ , there is an isomorphism between symplectic Khovanov homology and Khovanov homology as doubly-graded homology theories, such that*

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## Corollary

*Symplectic Khovanov homology categorifies Jones polynomial.*

# Construction–Basic Assumptions

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The base field  $\mathbb{K}$  is characteristic-0, such as  $\mathbb{Q}$  or  $\mathbb{C}$ .

The symplectic manifold  $\mathcal{Y}_n = \text{Hilb}^n(S) \setminus D_r$ , where  $S$  is a complex surface defined by equation

$$\{(u, v, z) \in \mathbb{C}^3 \mid u^2 + v^2 + (z - p_1) \dots (z - p_{2n}) = 0\} \in \mathbb{C}^3$$

and  $D_r$  consists of all the elements of length less than  $n$ .

The link  $L \subset \mathbb{R}^3$  is represented by a *bridge diagram*  $(\vec{\alpha}, \vec{\beta}, \vec{p})$



# Construction–Bridge diagram $(\vec{\alpha}, \vec{\beta}, \vec{p})$

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Let  $\vec{p}$  be  $2n$  points on the plane.

Let  $\vec{\alpha}$  be a set of  $n$  pairwise disjoint arcs whose boundary is exactly  $\vec{p}$ .

Let  $\vec{\beta}$  be a set of  $n$  pairwise disjoint arcs whose boundary is exactly  $\vec{p}$ .

Let  $\beta$ -arcs surpass  $\alpha$ -arcs at the intersections.

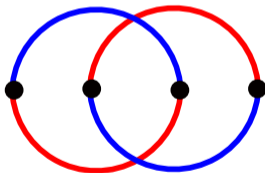


Figure: A bridge diagram for Hopf link

# Construction–Symplectic Khovanov $Kh_{\text{symp}}^*(L)$

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Consider a complex surface  $S = \{(u, v, z) \in \mathbb{C}^3 \mid u^2 + v^2 + \prod(z - p_i) = 0\}$ .

$S$  is a Lefschetz fibration  $\pi_z : S \rightarrow \mathbb{C}$ , with singular fibers at  $p_i$ .

Each arc  $\alpha_i$  or  $\beta_i$  determines uniquely a Lagrangian sphere  $\Sigma_{\alpha_i}$ ,  $\Sigma_{\beta_i}$  respectively, in  $S$

$n$  pairwise-disjoint arcs  $\alpha_i$  or  $\beta_i$  determines uniquely a Lagrangian in

$\mathcal{Y}_n = \text{Hilb}^n(S) \setminus D_r$

$$\mathcal{K}_\alpha = \Sigma_{\alpha_1} \times \Sigma_{\alpha_2} \times \dots \times \Sigma_{\alpha_n}$$

$$\mathcal{K}_\beta = \Sigma_{\beta_1} \times \Sigma_{\beta_2} \times \dots \times \Sigma_{\beta_n}$$

Define  $Kh_{\text{symp}}^*(L) = HF^*(\mathcal{K}_\alpha, \mathcal{K}_\beta)$ .

# Construction–Symplectic Khovanov $Kh_{\text{symp}}^*(L)$

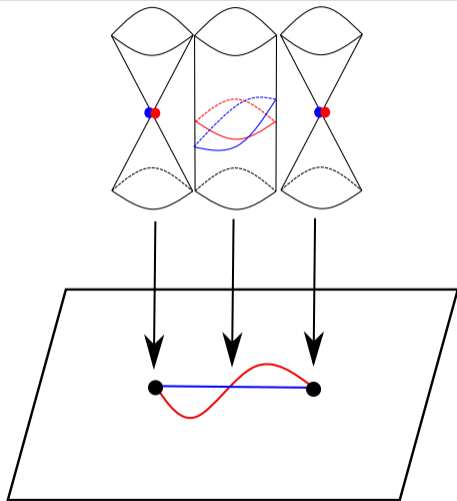


Figure: A schematic for  $\mathcal{Y}_1 = \text{Hilb}^1(S) = S$ .

# Construction–Review Floer homology $HF^*(\mathcal{K}_\alpha, \mathcal{K}_\beta)$

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$HF^*(\mathcal{K}_\alpha, \mathcal{K}_\beta)$  is the Lagrangian intersection Floer homology of Lagrangians  $\mathcal{K}_\alpha$  and  $\mathcal{K}_\beta$

The generators are the intersections of the two Lagrangians. If we think  $\text{Hilb}^n(S)$  as the  $n$ -fold product of  $S$ , each of these intersections consists of  $n$  points from the intersection of  $\alpha$ -arcs and  $\beta$ -arcs such that there will be exactly 1 point on each arc. In the case of the unknot in the previous slide, there are two generators from the end point intersections and two other generators from the interior intersection.

# Construction–Weight grading

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In order to define the weight grading, we need to work with some additional information, so we partially compactify  $\mathcal{Y}_n$

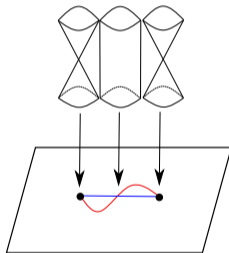


Figure: A schematic for  $\mathcal{Y}_n$ , in the case of  $n = 1$

# Construction–Weight grading

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We partially compactify  $\mathcal{Y}_n$  to  $\bar{\mathcal{Y}}_n$

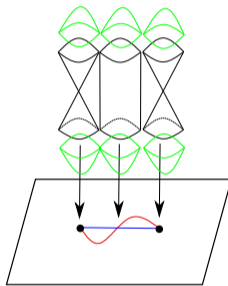


Figure: A schematic for  $\mathcal{Y}_n$  compactified to  $\bar{\mathcal{Y}}_n$

# Construction–Weight grading

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We partially compactify  $\mathcal{Y}_n$  to  $\bar{\mathcal{Y}}_n$

We consider Moduli space of disks with two inner marked points  $\mathcal{R}_{(0,1)}^{k+1}(x_0; x_k, \dots, x_1)$

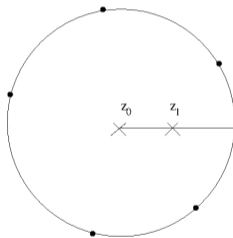


Figure: The domain of  $\mathcal{R}_{(0,1)}^{k+1}(x_0; x_k, \dots, x_1)$

# Construction–Weight grading

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We consider Moduli space of disks with two inner marked points

$\mathcal{R}_{(0,1)}^{k+1}(x_0; x_k, \dots, x_1)$ , such that  $z_0$  mapped to  $D_0$  and  $z_1$  mapped to  $D'_0$ . The intersection of the disk  $u$  and  $D_0$  is 1.

Counting curves in  $\mathcal{R}_{(0,1)}^2(x; y)$  with some refinement terms, we get an automorphism  $\phi$  on  $CF^*(\mathcal{K}_\alpha, \mathcal{K}_\beta)$ , which is a chain map of degree 0.

$\phi$  induces an automorphism  $\Phi$  on  $HF^*(\mathcal{K}_\alpha, \mathcal{K}_\beta)$ .

The weight grading  $wt(x)$  of a (generalized) eigenvector  $x \in HF^*(\mathcal{K}_\alpha, \mathcal{K}_\beta)$  is its (generalized) eigenvalue.



# Construction–Weight grading

Proposition (Abouzaid-Smith 2019 [1], C. 2020 [3])

*Weight grading is compatible with Floer products, i.e. if  $\mathcal{K}_0, \mathcal{K}_1, \mathcal{K}_2$  be compact Lagrangians given by crossingless matchings in  $\mathcal{Y}_n$ . For any eigenvector  $x \in HF^*(\mathcal{K}_1, \mathcal{K}_2)$  and  $y \in HF^*(\mathcal{K}_0, \mathcal{K}_1)$ , we have*

$$wt(xy) = wt(x) + wt(y)$$

Proof.

By counting curves in dimension-1 boundary strata, we have

$$\phi\mu^2(x, y) = \mu^2(\phi(x), y) + \mu^2(x, \phi(y)) \text{ or equivalently}$$
$$wt(xy)xy = (wt(x)x)y + x(wt(y)y) = (wt(x) + wt(y))xy$$

□

# Proof–Main Ingredients

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Abouzaid-Smith singly graded isomorphism  $\Phi : Kh_{symp}^* \rightarrow Kh^*$  (after gradings collapse.)

Long exact sequence that respects weight grading

$$\dots \rightarrow Kh_{symp}^{*,j_1}(K_+) \rightarrow Kh_{symp}^{*,j_2}(K_0) \rightarrow Kh_{symp}^{*,j_3}(K_\infty) \rightarrow \dots$$

Bigraded Khovanov homology long exact sequence

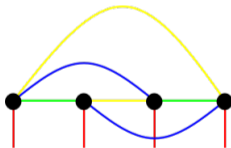
$$\dots \rightarrow Kh^{*,j_1}(K_+) \rightarrow Kh^{*,j_2}(K_0) \rightarrow Kh^{*,j_3}(K_\infty) \rightarrow \dots$$

# Proof–Bigraded long exact sequence

---

Let  $\beta$ ,  $\gamma$  and  $\delta$  curves differ only in the shown region in the following figure. We perturb all other arcs not shown in the diagram such that they only intersect at end points.

We can deduce from Abouzaid and Smith's result in [1] that  $\mathcal{K}_\beta$ ,  $\mathcal{K}_\gamma$ , and  $\mathcal{K}_\delta$  forms an exact triangle of Lagrangians.



**Figure:** Exact triangle of Lagrangians. The red, blue, green and yellow are  $\alpha$ ,  $\beta$ ,  $\gamma$  and  $\delta$  curves.  $\alpha + \beta = K_+$ ,  $\alpha + \gamma = K_0$  and  $\alpha + \delta = K_\infty$ .

# Proof–Bigraded long exact sequence

---

In other words, we can prove the following exact sequence

$$\dots \xrightarrow{c_1} HF^*(\mathcal{K}_\alpha, \mathcal{K}_\beta) \xrightarrow{c_2} HF^*(\mathcal{K}_\alpha, \mathcal{K}_\gamma) \xrightarrow{c_3} HF^*(\mathcal{K}_\alpha, \mathcal{K}_\delta) \xrightarrow{c_1} \dots \quad (1)$$

or equivalently

$$\dots \xrightarrow{c_1} Kh_{symp}^*(K_+) \xrightarrow{c_2} Kh_{symp}^*(K_0) \xrightarrow{c_3} Kh_{symp}^*(K_\infty) \xrightarrow{c_1} \dots \quad (2)$$

In particular, there are elements  $c_1 \in CF^*(\mathcal{K}_\beta, \mathcal{K}_\delta)$ ,  $c_2 \in CF^*(\mathcal{K}_\gamma, \mathcal{K}_\beta)$  and  $c_3 \in CF^*(\mathcal{K}_\delta, \mathcal{K}_\gamma)$  such that the maps above are Floer products with the corresponding elements.

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or equivalently

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We prove that the sum of weight grading of  $c_1$ ,  $c_2$  and  $c_3$  is 0. Alternatively, we can show that  $\mu^3(c_2, c_3, c_1) = e_{\mathcal{K}_\beta}$ .

# Proof–Bigraded isomorphism

---

Abouzaid and Smith construct an isomorphism  $\Phi : Kh_{\text{symp}}^*(K) \rightarrow Kh^*(K)$  that commutes the long exact sequences:

$$\begin{array}{ccccccccc} HF^*(\mathcal{K}, \mathcal{K}_\gamma) & \longrightarrow & HF^{*+2}(\mathcal{K}, \mathcal{K}_\delta) & \longrightarrow & HF^{*+1}(\mathcal{K}, \mathcal{K}_\beta) & \longrightarrow & HF^{*+1}(\mathcal{K}, \mathcal{K}_\gamma) & \longrightarrow & HF^{*+3}(\mathcal{K}, \mathcal{K}_\delta) \\ \Phi \downarrow & & \Phi \downarrow & & \Phi \downarrow & & \Phi \downarrow & & \downarrow \Phi \\ Kh^*(K_0) & \longrightarrow & Kh^{*+2}(K_\infty) & \longrightarrow & Kh^{*+1}(K_+) & \longrightarrow & Kh^{*+1}(K_0) & \longrightarrow & Kh^{*+3}(K_\infty) \end{array}$$

# Proof–Bigraded isomorphism

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Abouzaid and Smith constructed an isomorphism  $\Phi : Kh_{symp}^*(K) \rightarrow Kh^*(K)$  that commutes the long exact sequences:

For diagrams without any crossing, they computed  $\Phi$  such that it is an isomorphism as bigraded groups. With the homological grading  $k = i - j$  and weight grading  $wt = -j$ .

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Consider following diagram when we decompose the long exact sequences with respect to weight or Jones gradings.

$$\begin{array}{ccccccccc}
 HF^{*, -j_1}(\mathcal{K}, \mathcal{K}_\gamma) & \xrightarrow{c_2} & HF^{*+2, -j_2}(\mathcal{K}, \mathcal{K}_\delta) & \xrightarrow{c_3} & HF^{*+1, j_3'}(\mathcal{K}, \mathcal{K}_\beta) & \xrightarrow{c_1} & HF^{*+1, -j_1}(\mathcal{K}, \mathcal{K}_\gamma) & \xrightarrow{c_2} & HF^{*+3, -j_2}(\mathcal{K}, \mathcal{K}_\delta) \\
 \Phi \downarrow & & \Phi \downarrow & & \Phi \downarrow & & \Phi \downarrow & & \downarrow \Phi \\
 Kh^{*, j_1}(K_0) & \longrightarrow & Kh^{*+2, j_2}(K_\infty) & \longrightarrow & Kh^{*+1, j_3}(K_+) & \longrightarrow & Kh^{*+1, j_1}(K_0, j_1) & \longrightarrow & Kh^{*+3, j_2}(K_\infty)
 \end{array}$$



# Proof–Bigraded isomorphism

---

We use induction on number of crossings.





The base case of diagrams of no crossing is true because of Abouzaid-Smith [1].

$K_0$  and  $K_\infty$  have one less crossing than  $K_+$ , see Figure 9. The induction hypotheses imply that the first, second, fourth and fifth columns are isomorphism. By five lemma, the third row should also be isomorphism. This finishes the proof.

$$\begin{array}{ccccccccc}
 HF^{*, -j_1}(\mathcal{K}, \mathcal{K}_\gamma) & \xrightarrow{c_2} & HF^{*+2, -j_2}(\mathcal{K}, \mathcal{K}_\delta) & \xrightarrow{c_3} & HF^{*+1, j_3'}(\mathcal{K}, \mathcal{K}_\beta) & \xrightarrow{c_1} & HF^{*+1, -j_1}(\mathcal{K}, \mathcal{K}_\gamma) & \xrightarrow{c_2} & HF^{*+3, -j_2}(\mathcal{K}, \mathcal{K}_\delta) \\
 \Phi \downarrow & & \Phi \downarrow & & \Phi \downarrow & & \Phi \downarrow & & \downarrow \Phi \\
 Kh^{*, j_1}(K_0) & \longrightarrow & Kh^{*+2, j_2}(K_\infty) & \longrightarrow & Kh^{*+1, j_3}(K_+) & \longrightarrow & Kh^{*+1, j_1}(K_0, j_1) & \longrightarrow & Kh^{*+3, j_2}(K_\infty)
 \end{array}$$





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# The End

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Thank you for listening!